PATENT COOPERATION TREATY

To: SUSAN M. DADIO BURNS, DOANE, SWECKER & MATHIS, LLP P. O. BOX 1404 ALEXANDRIA, VIRGINIA 22313-1404 APPLICATION NUMBER AND OF THE INTERNATIONAL FILING DATE (PCT Rule 20.5(c)) Date of mailing (day month year) 22 Apr 2005 Applicant's or agent's file reference IMPORTANT NOTIFICATION

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Applicant LUNA INNOVATIONS INCORPORATED Title of the invention OPTICAL LIMITER HAVING TRIMETALLIC NITRIDE ENDOHEDRAL METALLOFULLERENE FILMS The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above. The applicant is further notified that the record copy of the international application: 22 Apr 2005 was transmitted to the International Bureau on has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*: because the necessary national security clearance has not yet been obtained. because (reason to be specified): The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)). Completed by: ER FOREIGN TRANSMITTAL LICENSE INFORMATION Additional license for foreign transmittal not required. This subject matter is covered by a license already granted or the equivalent U.S. national application. Refer to that license for information concerning its scope. License for foreign transmittal not required. 37 CFR. 5.11(e)(1) or 37 CFR 5.11(e)(2). However, a license may be required for additional subject matter. See 37 CFR 5.15(b). 19 Apr 2005 Foreign transmittal license granted, 35 U.S.C. 184; 37 CFR 5.11 on (date) 37 CFR 5.15(b) 37 CFR 5.15(a) Authorized officer Name and mailing address of the receiving Office Mail Stop PCT. Commissioner for Patents Elnora Rivera P.O. Box 1450, Alexandria, VA 22313-1450

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